MOSAIC
FAMILY WEALTH

Chief Investment Officer

General Description

The Chief Investment Officer is responsible for leading the Investment Department and overall investment platform. This position will be a key member of the Mosaic Family Wealth Leadership Team, contribute to firm leadership, strategic vision, and execution. The Chief Investment Officer will represent the firm's investment products, strategies, and all related solutions to Wealth Advisors and clients. The Chief Investment Officer will have an in-depth understanding of financial markets with the ability to clearly communicate and articulate investment strategies to Wealth Advisors.

Responsibilities:

- Manage and grow the Mosaic Family Wealth Investment Platform with a focus on the Wealth Advisor experience, client experience, AUC growth and process efficiencies
  - Build the leading investment platform for the firm, with value added offerings for Wealth Advisors to service their clients and grow their businesses
  - Oversee all investment strategies, ensuring an optimal portfolio of offerings, periodic product diligence, and continuous performance tracking
  - Provide required support to Mosaic Family Wealth's existing products and strategies, and identify new teams and strategies to acquire as value adds
  - Collaborate with Advisory Services, Business Development, Marketing, Operations, Technology, and Compliance to ensure successful delivery of the platform at scale
- Market the Mosaic Family Wealth Investment Platform through external media positioning and speaking opportunities
  - Collaborate with investment strategists and consultants to define a clear value proposition
  - Communicate our value proposition to internal and external stakeholders, existing clients, and new prospects
- Motivate and lead a high performing team; attract, recruit and retain key stakeholders

Qualifications

- 10+ years of experience at a large asset manager, RIA, fund company, or broker-dealer
- Extensive experience leading an Investment Team, and managing asset managers, platform managers, investment strategists and consultants. The ideal candidate would have done several of the following:
  - Built or scaled an investment platform, while making decisions around a number of strategies, platform positioning for advisors/clients, and pricing
  - Transitioned Wealth Advisor "books of business" to new models and strategies
  - Oversaw first-time and ongoing due diligence for new and existing strategies

    - Drove inorganic growth through Merger & Acquisitions and integrations of other asset managers
- Strong organizational skills to manage on demand requests, projects and daily duties
- Communication experience across large and small groups via all mediums, including live presentations and televised interviews
- Thorough knowledge of financial industry and financial products

Travel

- Travel will be on an as needed basis, to represent Mosaic Family Wealth at client events and other industry events, and to assist with business development or other business reasons

Preferred Education and Designations

- FINRA series 65 licensed required (or must obtain within first 6-months of employment)
- CIMA, CFA or CFP® designation or candidate preferred (or must begin designation course within first 6-months of employment)
- Clean U-4 and U-5 history
- Bachelor’s Degree required
- Master’s Degree preferred